



# First Republic Securities Company

## Schedule of Fees

Description of Item	Fee
Margin Extension	\$50.00 per extension
Reorganization Activities:	
Voluntary Participation	\$25.00 per reorganization
Mandatory Book Entry	No fee
Mandatory Physical Certificate	\$25.00 per reorganization
Inactive Account Custody Fee (Non-Retirement Accounts Only)	\$250.00 annually
Physical Securities Request	\$85.00 per certificate
Legal Registration Change (Including Restricted Stock)	\$250.00 per certificate
Foreign Receive & Deliver Fees:	
Foreign Fixed Income Clearance	\$75.00 per certificate
All Other Foreign Securities	\$100.00 per certificate
Foreign Securities Custody Fee	\$10.00 monthly per position
Physical Certificates Safekeeping Fee	\$10.00 monthly per position
Wire Funds	No Fee
Outgoing Account Transfers (Retail)	\$75.00 per account
Mutual Fund Voluntary Share class Exchange Transaction Fee	\$5 per position
Physical Certificate Cancellation Fee	\$80 per certificate
IRA Annual Maintenance Fee	No Fee
Conversion of Traditional IRAs to ROTH IRAs	No Fee
Qualified Plans and 403(b)(7) Custodial Accounts Annual Maintenance Fee	\$75.00/\$125.00 depending on plan document type*
IRS 990-T UBIT Tax Return Filing	\$200.00 per return filed
Retirement Account Termination Fee	\$95.00 per account
Alternative Investments:	
Review Fee	\$300.00 per investment
Transaction Fee	\$10.00 per position per event
Re-Registration/Redemption Fee	\$50.00 per investment
Annual Position Service Charge	\$50.00 per position, \$150.00 maximum per account
Access FundVest Mutual Fund Early Withdrawal (< 6 months)	\$50.00 per transaction

\*Pershing individual(k), Simplified 401(k), Simplified Profit Sharing and Simplified Money Purchase plan document type: \$75.00. Pershing Flexible 401(k), Flexible Profit Sharing and Flexible Money Purchase plan document type: \$125.00

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