



FIRST REPUBLIC PRIVATE WEALTH MANAGEMENT
It's a privilege to serve you®

First Republic Securities Company

Self-Directed Brokerage Commission Schedule

Account minimum: \$50,000 per account, \$100,000 per household

	Online Trading	Rep Assisted (with \$250,000 account or \$500,000 household balance)	Rep Assisted (with \$100,000 monthly average deposit balance)
Domestic Equities & ETFs	\$9.75 ¹	\$39.00	\$0.00
<ul style="list-style-type: none"> Foreign Equities Trading Over the Counter (OTC): Foreign stock orders that do not clear through the Depository Trust Company (DTC) are subject to additional fees. Foreign Equities (not listed on U.S. exchanges): Trading available in most major international markets. Rep-Assisted trading only. Greater of \$100.00 or 0.60% of principal amount. Additional local country taxes may apply. Trades executed through our trading desk (Block Trading/Algorithmic Trading) are subject to additional commissions. Alternative Investments: Not available on the Self-Directed platform. 			
Mutual Funds	<ul style="list-style-type: none"> • 0.10% of principal • \$30 minimum / \$150 maximum 	<ul style="list-style-type: none"> • 0.25% of principal • \$50 minimum / \$250 maximum 	<ul style="list-style-type: none"> • 0.20% of principal • \$50 minimum / \$200 maximum
No Transaction Fee Funds: FundVest Funds. A selection of load and no-load mutual funds that are NTF (no transaction fee). Early redemption fee of \$50 applies to liquidations of FundVest funds held for less than 6 months. Initial purchase minimum of \$2,500 per non-retirement transaction and a \$500 purchase minimum for subsequent investments. Initial and subsequent purchase minimums of \$500 within retirement accounts. Systematic investments are subject to a \$100 minimum.			
Options (Calls, Puts and Covered Calls)	\$0.75 per contract + \$9.75	\$1.50 per contract + \$39.00	\$0.75 per contract + \$39.00
Fixed Income First Republic Securities Company offers a wide range of fixed income products.	Not available	U.S. Treasuries: \$50 per trade Primary CDs: Selling concession is included in the offering price. Secondary Market: Government Agencies, CDs, Corporates and Municipals \$1.00 per bond + \$50 (\$500 maximum)	U.S. Treasuries: \$50 per trade Primary CDs: Selling concession is included in the offering price. Secondary Market: Government Agencies, CDs, Corporates and Municipals \$1.00 per bond + \$25 (\$250 maximum)

Commission Schedule effective 03/01/2023 and subject to change.

¹ Zero commission pricing is available for clients who maintain a monthly average account balance of \$10,000 in Eagle Sweep or in checking account. Monthly average account balance is based on account average daily balance for the previous 30 calendar days, calculated at month-end. Terms and conditions apply.

Deposit products and related services are offered by JPMorgan Chase Bank, N.A. Member FDIC. Equal Housing Opportunity

Investing involves market risk, including possible loss of principal, and there is no guarantee that investment objectives will be achieved. Past performance is not a guarantee of future results.

First Republic Private Wealth Management encompasses First Republic Investment Management, Inc., an SEC-registered Investment Advisor, First Republic Securities Company, LLC, Member FINRA/SIPC, First Republic Trust Company of Delaware LLC and First Republic Trust Company of Wyoming LLC, which are affiliated companies under the common control of JPMorgan Chase & Co.

Investment Advisory services are provided by First Republic Investment Management, Inc. Trust and Fiduciary services are offered through JPMorgan Chase Bank, N.A.; and First Republic Trust Company of Delaware LLC and First Republic Trust Company of Wyoming LLC, both wholly owned subsidiaries of JPMorgan Chase Bank, N.A. Brokerage services are offered through First Republic Securities Company, LLC, Member FINRA/SIPC. Insurance services are provided through First Republic Securities Company, DBA Grand Eagle Insurance Services, LLC, CA Insurance License # 0113184.

INVESTMENT AND INSURANCE PRODUCTS ARE:

- NOT FDIC INSURED
- NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
- NOT A DEPOSIT OR OTHER OBLIGATION OF, OR GUARANTEED BY, JPMORGAN CHASE BANK, N.A. OR ANY OF ITS AFFILIATES
- SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED